



TRANSMITTAL FORM

Application Serial No.: 10/005,212

Filing Date: December 4, 2001

First Named Inventor Gillies

Group Art Unit 1646

Examiner Name P. M. Mertz

Attorney Docket No. LEX-002C1

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ENCLOSURES (check all that apply)

- | | | |
|--|--|--|
| <input type="checkbox"/> Fee Transmittal Form
<input type="checkbox"/> Check Attached
<input type="checkbox"/> Copy of Fee Transmittal Form | <input type="checkbox"/> Copy of Notice to File Missing Parts of Application (PTO-1553) | <input type="checkbox"/> Appeal Communication to Board of Patent Appeals and Interferences |
| <input type="checkbox"/> Amendment/Response

<input type="checkbox"/> After Final
<input type="checkbox"/> Affidavits/declaration(s)
<input type="checkbox"/> Letter to Official Draftsperson including Drawings [Total Sheets ____] | <input type="checkbox"/> Formal Drawing(s) | <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) |
| <input type="checkbox"/> Extension of Time Request | <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition to Convert to a Provisional Application | <input type="checkbox"/> Status Letter |
| <input checked="" type="checkbox"/> Supplemental Information Disclosure Statement PTO- 1449 | <input type="checkbox"/> Power of Attorney (Revocation of Prior Powers) | <input checked="" type="checkbox"/> Return Receipt Postcard |
| <input checked="" type="checkbox"/> Copy of IDS Citations A51-A59, B82-B87, C140-C169 | <input type="checkbox"/> Terminal Disclaimer | <input checked="" type="checkbox"/> Certificate First Class Mailing under 37 C.F.R § 1.8 |
| <input type="checkbox"/> Certified Copy of Priority Document(s) | <input type="checkbox"/> Executed Declaration and Power of Attorney for Utility or Design Patent Application | <input type="checkbox"/> Additional Enclosure(s) (please identify below) |
| <input type="checkbox"/> Response to Missing Parts/ Incomplete Application | <input type="checkbox"/> Small Entity Statement | |
| | <input type="checkbox"/> Request for Refund | |
| | <input type="checkbox"/> After Allowance Communication to Group | |


CORRESPONDENCE ADDRESS

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SIGNATURE BLOCK

Respectfully submitted,

Date: July 15, 2003
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PATENT
Attorney Docket No. LEX-002C1

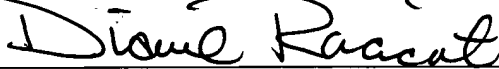
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT(S): Gillies et al.
SERIAL NO.: 10/005,212 GROUP NO.: 1646
FILING DATE: December 4, 2001 EXAMINER: P.M. Mertz
TITLE: HETERODIMERIC FUSION PROTEINS USEFUL FOR TARGETED
IMMUNE THERAPY AND GENERAL IMMUNE STIMULATION

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CERTIFICATE OF FIRST CLASS MAILING UNDER 37 C.F.R. 1.8

I hereby certify that this correspondence, and any document(s) referred to as enclosed herein, is/are being deposited with the United States Postal Service as first class mail, postage prepaid, in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria VA 22313-1450 on this 15th day of July, 2003.


Diane Racicot

Attached hereto is/are:

1. Transmittal Form (1 page);
2. Supplemental Form PTO-1449 (3 pages);
3. Supplemental Information Disclosure Statement (2 pages);
4. Copies of references A51-59, B82-B87, and C140-C169; and
5. A return receipt postcard.

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Attorney Docket No. LEX-002C1

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Commissioner for Patents
P.O. Box 1450
Alexandria VA 22313-1450

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with the provisions of 37 C.F.R. 1.97 and 1.98, Applicant hereby makes of record the patents and publications listed on the accompanying Form PTO-1449, and other information contained herein, for consideration by the Examiner in connection with the examination of the above-identified patent application. Copies of the patents and references are enclosed.

REMARKS

In accordance with the provisions of 37 C.F.R. 1.97, this statement is being filed (CHECK ONE):

- ☒ (1) within three (3) months of the **filing date** of a national application other than a continued prosecution application under 37 C.F.R. 1.53(d), or within three (3) months of the **date of entry of the national stage** as set forth in 37 C.F.R. 1.491 in an international application, or before the mailing of the **first Office action** on the merits, or before the mailing of a **first Office action** after the filing of a request for continued examination under 37 C.F.R. 1.114; or
- ☐ (2) after the period defined in (1) but before the mailing date of a **final action** or a **notice of allowance** under 37 C.F.R. 1.311, and
- ☐ the requisite Statement is below, **OR**
- ☐ the requisite fee under 37 C.F.R. 1.17(p), namely **\$180.00**, is included herein, or
- ☐ (3) after the mailing date of a **final action** or **notice of allowance** but before the payment of the **issue fee**, **AND**


- ☐ the requisite Statement is below, **AND**
- ☐ the requisite petition fee under 37 C.F.R. 1.17(p), namely **\$180.00** is included herein.

It is respectfully requested that each of the patents and publications listed on the attached Form PTO-1449, and other information contained herein, be made of record in this application.

Date: July 15, 2003
Reg. No. 41,640

Tel. No.: (617) 248-7012
Fax No.: (617) 248-7100

Respectfully submitted,



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